

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 March 2003 (06.03.2003)

PCT

(10) International Publication Number
WO 03/017854 A1

- (51) International Patent Classification⁷: A61B 17/58, 17/70
- (74) Agents: KLEIN, Howard, J. et al.; Klein, O'Neill & Singh, 2 Park Plaza, Suite 510, Irvine, CA 92614 (US).
- (21) International Application Number: PCT/US02/26325
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (22) International Filing Date: 19 August 2002 (19.08.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 09/934,435 21 August 2001 (21.08.2001) US
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant: I-FLOW CORPORATION [US/US]; 20202 Windrow Drive, Lake Forest, CA 92630 (US).
- (72) Inventors: KUST, Richard; 24051-F Hollyoak, Aliso Viejo, CA 92656 (US). WERSCHMIDT, Gary; 21905 Heatheridge Drive, Yorba Linda, CA 92887 (US). PORTER, William; 3442 Celinda Drive, Carlsbad, CA 92008 (US). KEAHY, Robert; 341 Wildrose, San Antonio, TX 78209 (US). AURIN, Gary; 19 Massier Lane, Foothill Ranch, CA 92610 (US). MASSENGALE, Roger; 28 Harveston, Mission Viejo, Ca 92692 (US).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 03/017854 A1

(54) Title: APPARATUS FOR DELIVERING A VISCOUS LIQUID TO A SURGICAL SITE

(57) Abstract: Apparatus (10) for delivering a viscous liquid to a surgical site employs a conventional syringe (20) having a barrel (22) and a plunger (30) movable axially within the barrel from a withdrawn position to an inserted position. The apparatus includes an internally-threaded sleeve (42) and a substantially cylindrical actuation element (44). The sleeve is configured to receive the plunger in its withdrawn position, and has an open proximal end and a distal end slot (54) configured for receiving the syringe barrel therethrough. The actuation element has an externally-threaded distal portion (48) dimensioned to screw into the proximal end (46) of the sleeve, and a plunger seat (62), at the distal end of the actuation element, that bears against the plunger and that pushes the plunger axially toward its inserted position in the barrel as the actuation element is threaded into the sleeve.

1 APPARATUS FOR DELIVERING A VISCOUS LIQUID TO
2 A SURGICAL SITE

3
4 CROSS REFERENCE TO RELATED APPLICATIONS

5 Not Applicable

6 FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

7 Not Applicable

8
9 BACKGROUND OF THE INVENTION

10 The present invention relates to an apparatus for delivering a
11 viscous liquid material to a surgical site within the body of a human or an
12 animal. More specifically, it relates to an apparatus for controllably
13 delivering bone cement to a site within a bone that has been surgically
14 prepared to receive the cement.

15 Many procedures in orthopedic surgery require a predetermined
16 quantity of bone cement to be delivered to a site within a bone that has
17 been surgically prepared to receive the cement. For example, surgery to
18 correct certain spinal injuries or deformities requires a hole to be drilled or
19 bored in a vertebra, and then the hole is filled with bone cement. This is
20 accomplished by filling a syringe with bone cement, and then delivering
21 the cement to the site via a cannula attached to the syringe by a length of
22 flexible tubing.

23 Because the cement is quite thick and viscous, delivering the cement
24 from the syringe requires a great deal of effort applied to the syringe
25 plunger. Thus, both strength and dexterity are required on the part of the
26 surgeon performing the procedure.

27 It would thus be an improvement over the current state of the art to
28 provide a mechanism that would facilitate the delivery of bone cement and
29 like materials by making it easier to express the material from the syringe.
30

1 SUMMARY OF THE INVENTION

2 Broadly, in one aspect, the present invention is an apparatus for
3 delivering a viscous liquid to a surgical site, comprising a syringe having a
4 barrel and a plunger movable axially within the barrel from a withdrawn
5 position to an inserted position, and a syringe actuation device, wherein
6 the syringe actuation device comprises (1) a hollow, internally-threaded
7 sleeve configured to receive the plunger in its withdrawn position, the
8 sleeve having an open proximal end and a distal end opening configured
9 for securing the syringe barrel; and (2) a substantially cylindrical actuation
10 element having (a) an externally-threaded distal portion dimensioned to
11 screw into the proximal end of the sleeve, and (b) a plunger seat, at the
12 distal end of the actuation element, that bears against the plunger and that
13 pushes the plunger axially toward its inserted position in the barrel as the
14 actuation element is threaded into the sleeve.

15 In another aspect, the invention is a syringe actuation device for
16 receiving and holding a pre-filled conventional syringe having a barrel
17 containing a measure of liquid and a plunger that is axially movable into
18 the barrel for expressing the contents therefrom, the device comprising a
19 sleeve for securing the pre-filled syringe with the plunger in a withdrawn
20 position and an actuation element that screws into the sleeve so as to push
21 the plunger into the barrel to express the liquid from the syringe.

22 In a specific preferred embodiment, the actuation device comprises
23 an internally-threaded hollow sleeve with an open proximal end, and a
24 substantially cylindrical actuation element with an externally-threaded
25 distal portion that threads into the open proximal end of the sleeve. The
26 actuation element includes a transverse (i.e., perpendicular to the actuation
27 element axis) plunger seat at its distal end. The sleeve has a longitudinal
28 opening parallel to its axis for receiving the extended plunger of a pre-filled
29 syringe, and a distal end wall portion with an opening or slot through

1 which the barrel of the syringe extends. Also, in the specific preferred
2 embodiment, the proximal portion of the actuation element may be
3 configured as an enlarged-diameter gripping element that is configured to
4 facilitate actuation by increasing the mechanical advantage when the
5 actuation element is screwed into the sleeve.

6 In use, the actuation element is backed out of the sleeve a sufficient
7 distance in the proximal direction to allow a pre-filled syringe to be
8 installed in the sleeve through the longitudinal opening. The barrel of the
9 syringe being pre-filled with a measured volume of liquid, the plunger of
10 the syringe is in its extended or withdrawn position. The outlet tip of the
11 syringe is connected to one end of a fluid conduit, such as a length of
12 flexible tubing, the other end of which may be coupled to an injection
13 needle or a cannula. As the actuation element is threaded into the sleeve,
14 the plunger seat bears against the plunger to push the plunger distally into
15 the barrel until it reaches its fully inserted position, corresponding to the
16 delivery of the measured volume of liquid from the barrel.

17 As will be appreciated that the threaded coupling between the
18 actuation element and the sleeve allows the actuation element to be turned
19 as a screw within the sleeve and to advance against the plunger with the
20 mechanical advantage provided by a screw mechanism. This screwing
21 action, in turn, allows the user more easily to apply sufficient force to the
22 plunger to express a highly viscous liquid (e.g., bone cement) from the
23 barrel. Furthermore, a greater degree of control can be used in actuating
24 the plunger. For example, stopping the plunger at precise positions within
25 the barrel, so as to express the contents of the barrel in desired increments,
26 is greatly facilitated. These and other advantages of the invention will be
27 more fully understood from the detailed description that follows.

28

29

1 BRIEF DESCRIPTION OF THE DRAWINGS

2 Figure 1 is a perspective view of an apparatus for delivering a
3 viscous fluid to a surgical site, in accordance with a preferred embodiment
4 of the present invention, the apparatus comprising a syringe and a syringe
5 actuation device;

6 Figure 2 is a side elevational view, partially in section, of the
7 apparatus of Figure 1, showing the syringe and the actuation element of
8 the syringe actuation device in their respective positions prior to actuation
9 of the syringe and resultant delivery of its contents;

10 Figure 3 is a transverse cross-sectional view taken along line 3 - 3 of
11 Figure 2;

12 Figure 4 is a transverse cross-sectional view taken along line 4 - 4 of
13 Figure 2;

14 Figure 5 is a longitudinal cross-sectional view taken along line 5 - 5
15 of Figure 2;

16 Figure 6 is a longitudinal cross-sectional view, similar to that of
17 Figure 5, but showing the syringe and the actuation element of the syringe
18 actuation device in their respective positions after actuation of the syringe
19 and the delivery of its contents;

20 Figure 7 is a transverse cross-sectional view taken along line 7 - 7 of
21 Figure 5;

22 Figure 8 is a transverse cross-sectional view taken along line 8 - 8 of
23 Figure 5; and

24 Figure 9 is an end elevational view of the proximal end of the
25 syringe actuation device, taken along line 9 - 9 of Figure 6.

26

27 DETAILED DESCRIPTION OF THE INVENTION

28 Referring now to the drawings, an apparatus 10 for delivering a
29 viscous liquid to a surgical site is shown, in accordance with a preferred

1 embodiment of the invention. The apparatus 10 comprises a standard,
2 conventional syringe 20 and a novel syringe actuation device 40. The
3 syringe 20 comprises a barrel 22 that may be filled with a predetermined
4 volume (typically, for example, 10cc or 20cc) of a liquid. In the present
5 invention, the liquid is likely to be a highly viscous liquid, and, in
6 particular, bone cement, but the invention is not limited to any specific
7 type or viscosity of liquid.

8 The distal end of the barrel 22 tapers down to a distal outlet portion
9 24, which may be internally threaded (at 25) for coupling to a convention
10 Luer fitting (not shown) at one end of a length of flexible tubing 26 (Fig.
11 2). The other end of the tubing 26 is typically coupled to needle or
12 cannula (not shown) for introducing the liquid expressed from the syringe
13 20 to a surgical site (such as a bone, in the case of bone cement) within a
14 patient's body. The proximal end of the barrel 22 is open and is
15 surrounded by a peripheral flange 28.

16 The syringe 20 has a plunger 30 that is installed for axial movement
17 within the barrel 22 between a withdrawn position (Figures 1, 2, and 5)
18 and an inserted position (Fig. 6). The proximal end of the plunger 30 is
19 advantageously configured as a flattened thumb rest 32, while the distal
20 end of the plunger 30 is attached to a piston 33 sized for a sliding frictional
21 engagement against the interior wall surface of the barrel 22.

22 The syringe actuation device 40 comprises a substantially cylindrical
23 hollow sleeve 42 and a substantially cylindrical plunger actuation element
24 44 that is dimensioned to fit within the sleeve 42. The sleeve 42 has an
25 open proximal end and internal threads 46, while the actuation element 44
26 has comprises a substantially tubular inner member 47a coaxially disposed
27 within a substantially cylindrical outer member 47b. The outer member
28 47a has a distal portion 48 that is externally threaded to mate with the
29 internal threads 46 when the actuation element 44 is inserted into the open

1 proximal end of the sleeve 42.

2 The sleeve 42 has a longitudinal opening 50 parallel to its axis for
3 receiving the extended plunger 30 of a pre-filled syringe 20 (as will be
4 described below), and a distal end wall 52 with a distal slot 54 that is
5 contiguous with the longitudinal opening 50, and that is dimensioned to
6 receive the syringe barrel 22. The longitudinal opening 50 extends
7 proximally from the distal end slot 54 at least half the length, and
8 preferably about two-thirds to about three-quarters the length of the sleeve
9 42. Extending distally from the distal end wall 52 is a trough-like barrel
10 securing member 56 that communicates with the distal end slot 54. The
11 barrel securing member 56 is configured to hold the syringe barrel 22 with
12 a friction fit, and thus has an inside diameter that is approximately the
13 same as the outside diameter of the syringe barrel 22. A removable insert
14 58 may be provided in the barrel securing member 56 to reduce the inside
15 diameter of the barrel securing member 56 to accommodate a smaller
16 syringe barrel 22. Thus, for example, the barrel securing member 56
17 without the insert 58 may be dimensioned to hold a 20cc syringe, while the
18 insert 58 may be installed if a 10cc syringe is to be used.

19 Attached to the distal end of the inner member 47a of the actuation
20 element 44 is a distal end cap that comprises a distally-extending
21 peripheral rim 60 surrounding a substantially circular plunger seat 62.
22 The rim 60 and the plunger seat 62 define a receptacle or recess 64 that is
23 dimensioned to receive the thumb rest 32 at the proximal end of the
24 syringe plunger 30. The plunger seat 62 may optionally be formed with
25 one or more distally-extending protrusions 63 against which the thumb rest
26 32 seats.

27 The outer member 47b of the actuation element 44 has a proximal
28 portion 66 that is advantageously of an enlarged diameter to provide a
29 convenient hand grip. To this end, it may also be formed with

1 longitudinal ridges 68 to provide a non-slip gripping surface. The
2 proximal portion 66 may be internally threaded for the attachment of an
3 externally-threaded proximal end cap 70.

4 In use, a syringe 20, pre-filled with a measured volume of a liquid
5 (such as bone cement) contained in the barrel 22, is installed within the
6 sleeve 42 through the longitudinal opening 50. The syringe barrel 22 being
7 filled, the plunger 30 is in its withdrawn (proximal) position, extending
8 proximally from the proximal end of the barrel 22. The barrel 22 of the
9 syringe 20 extends through the distal end slot 54 of the sleeve 42, and it is
10 snapped into place in the barrel securing member 56, which may be fitted
11 with the insert 58 (as shown) or not, depending on the size (outside
12 diameter) of the barrel. The barrel flange 28 is seated against the interior
13 surface of the distal end wall 52 of the sleeve 42. The actuation element 44
14 may, at this point, be inserted into the proximal end of the sleeve 42 and
15 threaded distally into the sleeve until the thumb rest 32 of the plunger 30 is
16 received within the receptacle 64 in the distal end cap of the actuation
17 element 44 and is seated against the plunger seat 62. Thus, as shown in
18 Figures 1, 2, and 5, the apparatus 10 is ready for use to express the liquid
19 contents of the barrel 22 out of the outlet tip 24 of the syringe 20, and to
20 the surgical site through the conduit 26 and a needle or cannula (not
21 shown) that is installed in the site.

22 To express the contents of the barrel, the actuation element 44 is
23 threaded further distally within the sleeve 42, thereby pushing the plunger
24 30 distally, toward its inserted position within the barrel 22, through the
25 engagement between the plunger seat 62 and the thumb rest 32. As shown
26 in Figure 6, this process may be continued until the plunger 30 is in its
27 fully inserted (distal) position, at which point the entire volume of liquid
28 contained within the barrel 22 has been emptied therefrom. It will be
29 appreciated that this process can be interrupted at any desired position(s)

1 of the plunger to express a part of the contents, or to express the contents
2 in desired increments.

3 The screw mechanism action of the actuation element 44 within the
4 sleeve 42 provides a marked mechanical advantage that facilitates the
5 dispensing of highly viscous liquids, such as bone cement, from the syringe
6 20. Furthermore, the partial or incremental dispensing of the syringe
7 contents can be more easily controlled, by means of the screw mechanism,
8 as compared with manually actuating the plunger by pressure applied
9 directly by the user's thumb. Contributing to the control is the
10 characteristic that nearly the entire length of the barrel 22 is visible, both
11 the proximal portion carried within the barrel securing member 56, and the
12 distal portion that extends distally from the barrel securing member. In
13 addition, syringe actuation device 30 can easily be re-used. The empty
14 syringe can easily be removed and replaced with a new syringe.

15 While a preferred embodiment of the invention has been described
16 herein, it will be appreciated that a number of modifications and variations
17 will suggest themselves to those skilled in the pertinent arts. For example,
18 while it is a particular advantage of the preferred embodiment that it
19 employs a conventional syringe, it may be modified for use with any
20 number of specialized syringes that either are now available or that may be
21 devised in the future. Also, the specific structure of the actuation element
22 44 described herein is exemplary only, and many alternative structures and
23 configurations (such as, for example, a unitary structure instead of the
24 multipart structure) may suggest themselves. Such modifications, as well
25 as others that may suggest themselves, are considered to be within the
26 spirit and scope of the present invention, as defined in the claims that
27 follow.

1 WHAT IS CLAIMED IS:

2 1. Apparatus for delivering a liquid to a surgical site, comprising:
3 a syringe having a barrel and a plunger that is axially movable
4 within the barrel between a withdrawn (proximal) position and an inserted
5 (distal) position, the plunger having a proximal end; and

6 a syringe actuation device, comprising:

7 a hollow, internally-threaded sleeve configured to receive the
8 plunger in its withdrawn position, the sleeve having an open
9 proximal end and a distal end opening configured for securing the
10 syringe barrel; and

11 a substantially cylindrical actuation element having an
12 externally-threaded distal portion dimensioned to screw into the
13 proximal end of the sleeve, and a plunger seat positioned in the
14 distal portion of the actuation element to bear against the plunger so
15 as to push the plunger axially toward its inserted position in the
16 barrel as the actuation element is threaded into the sleeve.

17

18 2. The apparatus of Claim 1, wherein the sleeve has a distal end slot
19 dimensioned to receive the syringe barrel, and a longitudinal opening
20 extending from the distal end slot toward the proximal end and
21 dimensioned to receive the syringe plunger in its withdrawn position.

22

23 3. The apparatus of Claim 2, wherein the distal end slot of the
24 sleeve is in a distal end wall, and wherein the sleeve further comprises:

25 a syringe barrel securing member extending distally from the distal
26 end wall and communicating with the distal end slot, the securing member
27 having an inside diameter dimensioned to receive the syringe barrel.

28

29

1 4. The apparatus of Claim 3, further comprising:

2 a removable insert configured to fit within the barrel securing
3 member to reduce the inside diameter of the barrel securing member to
4 accommodate a smaller syringe barrel.

5

6 5. The apparatus of Claim 1, wherein the actuation element has a
7 proximal portion configured as an enlarged-diameter hand grip.

8

9 6. The apparatus of Claim 1, wherein the actuation element has a
10 longitudinal axis and includes a recess in its distal end, and wherein the
11 plunger seat comprises a surface in the recess that is transverse to the axis
12 of the actuation element.

13

14 7. The apparatus of Claim 6, wherein the plunger has a proximal
15 end configured as a flattened thumb rest, and wherein the recess is
16 configured to receive the thumb rest.

17

18 8. A device for actuating a syringe, wherein the syringe includes a
19 barrel and a plunger movable axially within the barrel from a withdrawn
20 (proximal) position to an inserted (distal) position, the device comprising:
21 a hollow, internally-threaded sleeve configured to receive the
22 plunger in its withdrawn position, the sleeve having an open proximal end
23 and a distal end opening configured for securing the syringe barrel; and
24 a substantially cylindrical actuation element having an externally-
25 threaded distal portion dimensioned to screw into the proximal end of the
26 sleeve, and a plunger seat positioned in the distal portion of the actuation
27 element to bear against the plunger so as to push the plunger axially
28 toward its inserted position in the barrel as the actuation element is
29 threaded into the sleeve.

1 9. The device of Claim 8, wherein the sleeve has a distal end slot
2 dimensioned to receive the syringe barrel, and a longitudinal opening
3 extending from the distal end slot toward the proximal end and
4 dimensioned to receive the syringe plunger in its withdrawn position.
5

6 10. The device of Claim 9, wherein the distal end slot of the sleeve
7 is in a distal end wall, and wherein the sleeve further comprises:

8 a syringe barrel securing member extending distally from the distal
9 end wall and communicating with the distal end slot, the securing member
10 having an inside diameter dimensioned to receive the syringe barrel.
11

12 11. The device of Claim 10, further comprising:

13 a removable insert configured to fit within the barrel securing
14 member to reduce the inside diameter of the barrel securing member to
15 accommodate a smaller syringe barrel.
16

17 12. The device of Claim 8, wherein the actuation element has a
18 proximal portion configured as an enlarged-diameter hand grip.
19

20 13. The device of Claim 8, wherein the actuation element has a
21 longitudinal axis and includes a recess in its distal end, and wherein the
22 plunger seat comprises a surface in the recess that is transverse to the axis
23 of the actuation element.
24

25 14. The device of Claim 13, wherein the plunger has a proximal
26 end configured as a flattened thumb rest, and wherein the recess is
27 configured to receive the thumb rest.
28

29 15. A device for actuating a syringe, wherein the syringe includes a

1 barrel and a plunger movable axially within the barrel from a withdrawn
2 (proximal) position to an inserted (distal) position, the device comprising:
3 a hollow, internally-threaded sleeve having an open proximal end, a
4 distal end slot configured to receive the syringe barrel therethrough, and a
5 longitudinal opening extending from the distal end slot toward the
6 proximal end and dimensioned to receive the plunger in its withdrawn
7 position; and

8 a substantially cylindrical actuation element having a longitudinal
9 axis, an externally-threaded distal portion dimensioned to screw into the
10 proximal end of the sleeve, and a plunger seat positioned in the distal
11 portion of the actuation element and comprising a surface transverse to the
12 longitudinal access and configured to bear against the plunger so as to
13 push the plunger axially toward its inserted position in the barrel as the
14 actuation element is threaded into the sleeve.

15

16 16. The device of Claim 15, wherein the distal end slot of the sleeve
17 is in a distal end wall, and wherein the sleeve further comprises:

18 a syringe barrel securing member extending distally from the distal
19 end wall and communicating with the distal end slot, the securing member
20 having an inside diameter dimensioned to receive the syringe barrel.

21

22 17. The device of Claim 16, further comprising:

23 a removable insert configured to fit within the barrel securing
24 member to reduce the inside diameter of the barrel securing member to
25 accommodate a smaller syringe barrel.

26

27 18. The device of Claim 15, wherein the actuation element has a
28 proximal portion configured as an enlarged-diameter hand grip.

29

1 19. The device of Claim 18, wherein the plunger has a proximal
2 end configured as a flattened thumb rest, and wherein the plunger seat is
3 defined within a recess configured to receive the thumb rest.

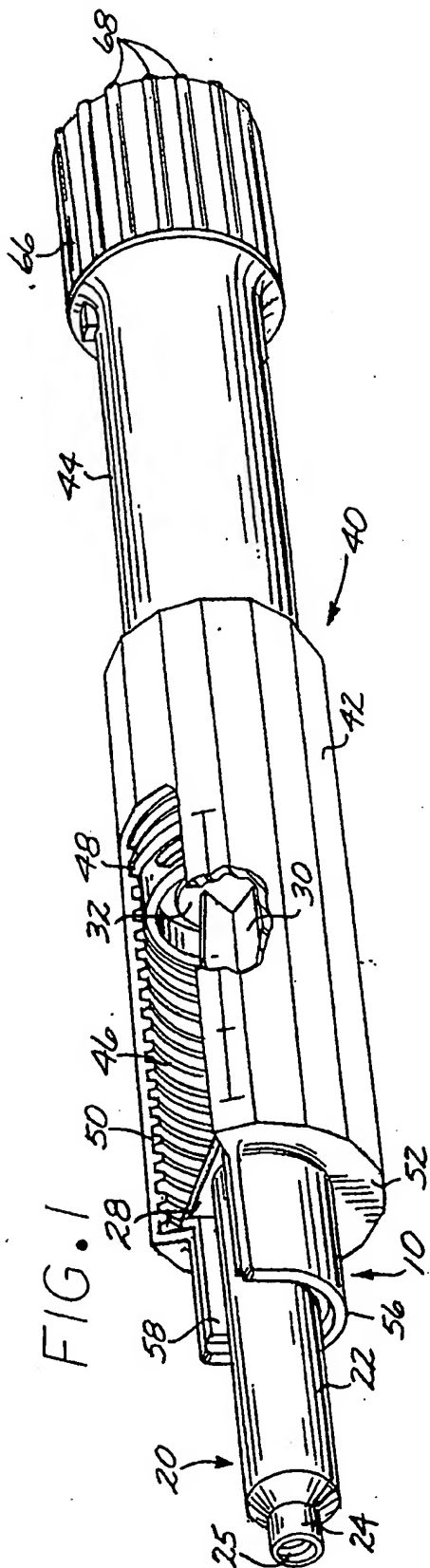
4
5 20. A method of delivering a viscous liquid to a surgical site,
6 comprising the steps of:

7 (A) providing a syringe having a barrel filled with the viscous liquid,
8 the syringe having a plunger movable axially within the barrel between a
9 withdrawn (proximal) position and an inserted (distal) position;

10 (B) installing the filled syringe into a hollow, internally-threaded
11 sleeve configured to receive the plunger in its withdrawn position, the
12 sleeve having an open proximal end and a distal end opening configured
13 for securing the syringe barrel;

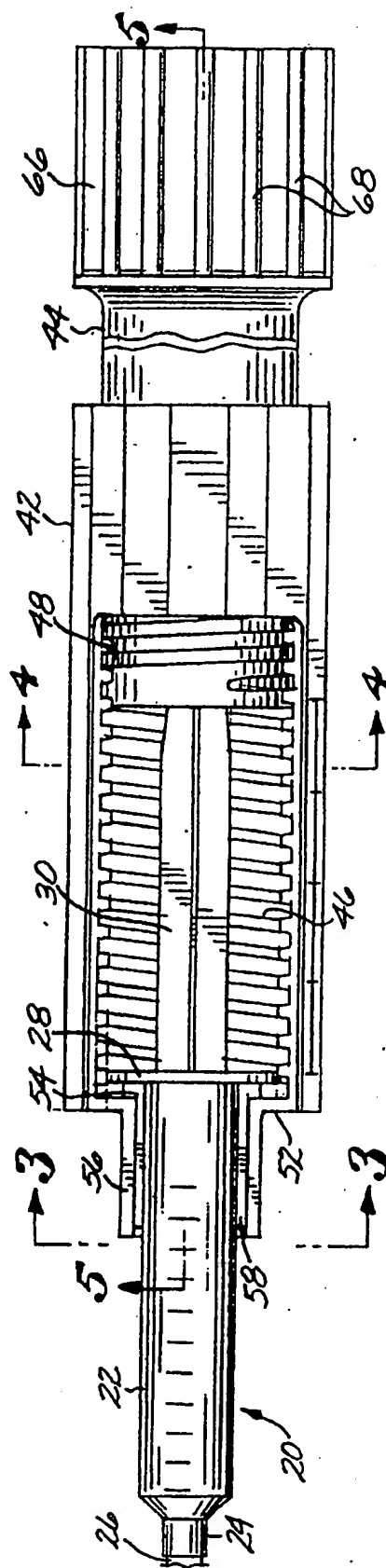
14 (C) providing a substantially cylindrical actuation element having an
15 externally-threaded distal portion dimensioned to screw into the proximal
16 end of the sleeve, and a plunger seat positioned in the distal portion of the
17 actuation element to bear against the plunger so as to push the plunger
18 axially toward its inserted position in the barrel as the actuation element is
19 threaded into the sleeve; and

20 (D) inserting the distal portion of the actuation element into the
21 proximal end of the sleeve and threading the actuation element into the
22 sleeve so as to bring the plunger seat to bear against the plunger, thereby
23 pushing the plunger from its withdrawn position toward its inserted
24 position to express the liquid from the syringe barrel.



1/3

FIG. 2



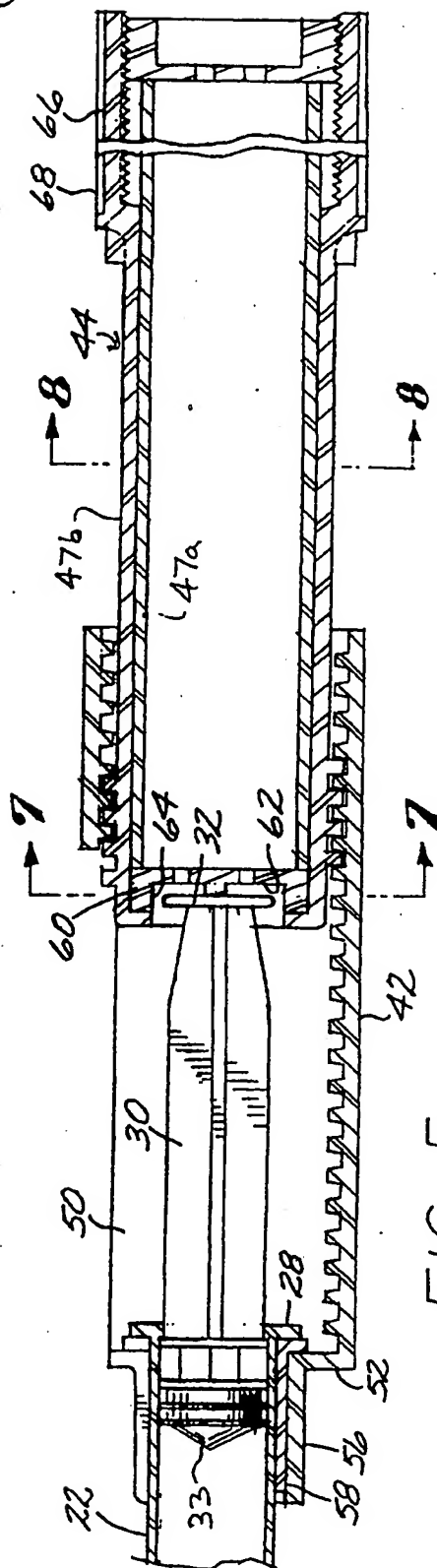
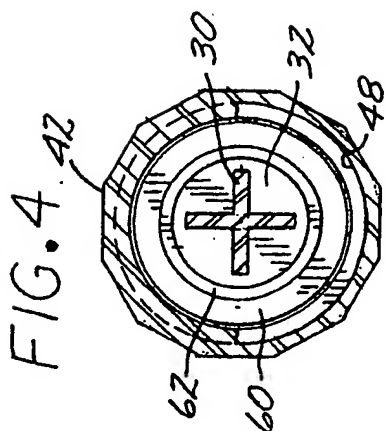
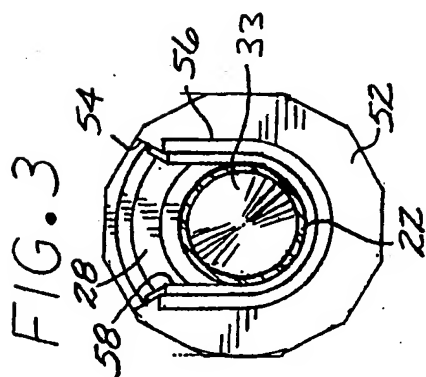
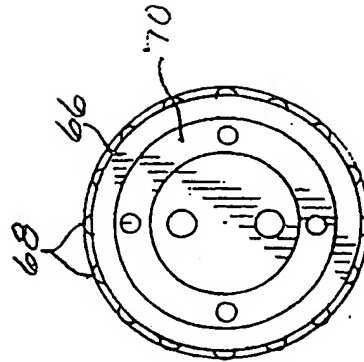
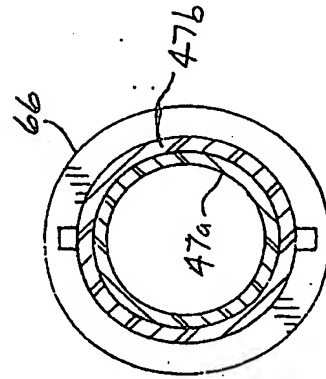
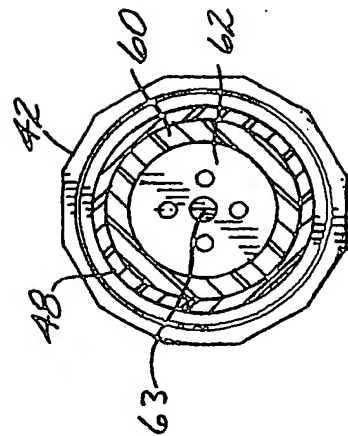
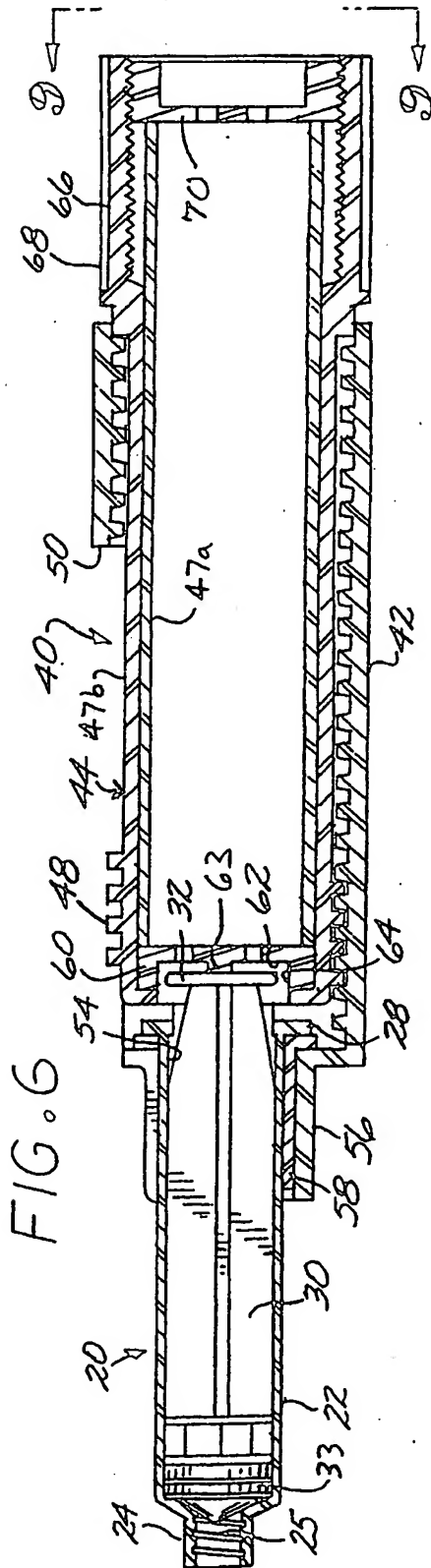


FIG. 5

3/3



INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/26325

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B17/58 A61B17/70

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B A61F A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 599 315 A (C.J.MCPHEE) 4 February 1997 (1997-02-04) figures 1,2,4	1-19
X	US 5 454 793 A (G.LEVANDER AND O.LJUNGQUIST) 3 October 1995 (1995-10-03) column 4, line 10 - line 19; figures 1,2	1,5,8, 12,15, 16,18,19
X	WO 99 65597 A (ORTHOFIX) 23 December 1999 (1999-12-23) page 3, line 4 - line 18; figure 3	1,5-8, 12-15
X	US 5 456 388 A (J.P.HONSTEIN AND R.J.BARNES) 10 October 1995 (1995-10-10) column 8, line 59 -column 10, line 4; figures 13-15	1-3,5, 8-10,12
	-/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the International filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *&* document member of the same patent family

Date of the actual completion of the international search

4 December 2002

Date of mailing of the international search report

10/12/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Nice, P

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/26325

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 2 266 463 A (B.N.HENDY) 3 November 1993 (1993-11-03) page 4, line 9 - line 10; figure -----	1,5-8, 12-14
X	US 4 312 343 A (H.H.LEVEEN) 26 January 1982 (1982-01-26) abstract; figures 1,2 -----	1,5,8,12

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 02/26325

Box I Observation where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 20
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/26325

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5599315	A	04-02-1997	AU 1024597 A	19-06-1997
			WO 9719717 A1	05-06-1997
			ZA 9609516 A	21-07-1997
US 5454793	A	03-10-1995	SE 501676 C2	10-04-1995
			AT 137982 T	15-06-1996
			AU 659284 B2	11-05-1995
			AU 3412793 A	01-09-1993
			CA 2105164 A1	23-07-1993
			DE 69302625 D1	20-06-1996
			DE 69302625 T2	16-01-1997
			DK 576656 T3	03-06-1996
			EP 0576656 A1	05-01-1994
			ES 2086925 T3	01-07-1996
			FI 934132 A	21-09-1993
			GR 3020555 T3	31-10-1996
			JP 2855149 B2	10-02-1999
			JP 6506383 T	21-07-1994
			NO 933358 A	22-11-1993
			NZ 246714 A	27-04-1995
			RU 2078584 C1	10-05-1997
			SE 9200172 A	23-07-1993
			WO 9314799 A1	05-08-1993
WO 9965597	A	23-12-1999	GB 2338428 A	22-12-1999
			AU 4381399 A	05-01-2000
			WO 9965597 A1	23-12-1999
US 5456388	A	10-10-1995	NONE	
GB 2266463	A	03-11-1993	NONE	
US 4312343	A	26-01-1982	AU 6042480 A	05-02-1981
			DE 3028354 A1	26-02-1981
			FR 2462170 A1	13-02-1981
			GB 2058228 A , B	08-04-1981
			JP 56028770 A	20-03-1981
			ZA 8004243 A	29-07-1981